PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ormation unless it displays a valid OMB control number

EE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)760.00	
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d to a collection of information	uniess it displays a valid OMB control number.	
Complete if Known		
Application Number	09/776,523	
Filing Date	2-2-01	
First Named Inventor	Brad Freeman	
Examiner Name		
Group Art Unit		
Attorney Docket No	ASTRX-010A	

METHOD OF PAYMENT FEE CALCULATION (continued)		
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	ADDITIONAL FEES Large Entity Small Entity	
Deposit Account	Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	
Number 19-4330 Deposit	105 130 205 65 Surcharge - late filing fee or oath 65.00	
Account Name Stetina Brunda	127 50 227 25 Surcharge -late provisional filing fee or cover sheet	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27	139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for ex parte reexamination	
	112 920* 112 920* Requesting publication of SIR prior to Examiner action	
2. X Payment Enclosed:	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
	115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month	
Money Order ☐ Other	117 890 217 445 Extension for reply within third month	
	118 1,390 218 695 Extension for reply within fourth month 695.00 128 1,890 228 945 Extension for reply within fifty month	
FEE CALCULATION	119 310 219 155 Notice of Appeal	
	120 310 220 155 Filing a brief in support of an appeal	
1. BASIC FILING FEE	121 270 221 135 Request for oral hearing	
Large Entity Small Entity	138 1,510 138 1,510 Petition to institute a public use	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	proceeding	
Code (\$) Code (\$) Fee Paid	140 110 240 55 Petition to revive-unavoidable	
101 710 201 355 Utility Filing fee	141 1,240 241 620 Petition to revive-unintentional	
106 320 206 160 Design Filing fee	142 1,240 242 620 Utility issue fee (or reissue)	
107 490 207 245 Plant Filing fee	143 440 243 220 Design issue fee	
108 710 208 355 Reissue Filing fee	122 130 122 130 Petitions to the Commissioner	
114 150 214 75 Provisional Filing fee	123 50 123 50 Petitions related to provisional	
SUBTOTAL (1) \$ <u>0</u>	applications 126 180 126 180 Submission of Information Disclosure	
	Statement 581 40 5 81 40 Recording each petent assignment per properly (times number of properties)	
	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
2. EXTRA CLAIM FEES Fee from	149 710 249 355 For each additional invention to be	
Extra Claims below Fee Paid	examined 179 710 279 355 Request for Continued Examination (RCE)	
Total Claims - 20** = X =X		
Claims - 3 = X = = Multiple Dependent = =	of a design applicattion	
	Other fee (specify)	
Large Entity Small Entity		
Fee Fee Fee Fee Description		
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20		
102 80 202 40 Independent claims in excess of 3		
104 270 204 135	* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$ 760.00	
109 80 209 40	Tradada ay andre i miligi and and analysis (a)	
110 18 210 9 SUPTOTAL (2) \$ 0		
SUBTOTAL (2) \$		
**or number previously paid, if greater; For Reissues, see above		

SUBMITTED BY:		Com	plete (if applicable)
Name (Print/Type)	Kit M. Stetista 29,445	Telephone	(949) 855-1246
Signature	WWW	Date	August 22, 2001



ATTORNEY DOCKET NO: ASTRX-010A TITLE: ANATOMICAL JOINT BRACE WITH ADJUSTABLE JOINT EXTENSION LIMITER

Return postcard.

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

	Walimigioti, 5.01 20201
	I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail No addressed to:
	on August 22, 2001 (Date) Signature
	Colleen Tyler Typed or printed name of person signing Certificate
Note:	Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.
1. 2. 3. 4. 5. 6.	Fee Transmittal, in duplicate; Petition for Extension of Time under 37 CFR 1.136(a); Check for \$760.00; Notice to File Missing Parts of Nonprovisional Application; Declaration for Utility Patent Application; Certificate under 37 C.F.R. § 3.73 (b); Power of Attorney;







CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Appli	cant: _	Brad Freeman, et al.
Appli	cation N	No.: 09/776,523 Filed: 02/02/01
For: _	ANAT	OMICAL JOINT BRACE WITH ADJUSTABLE JOINT EXTENSION LIMITER
ASTE (Name of	RISK. Assignee)	ASTERISK, LLC , a Limited Liability California (Type of Assignee, e.g., corporation, partnership, etc.) Company
certifies	that it is th	he assignee of the entire right, title and interest in the patent application identified by virtue of either:
A. [X]	An assig Patent ar	nment from the inventor(s) of the patent application identified above. The assignment was recorded in the and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR		
B. []	A chain	of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
	1.	From:To:
		The document was recorded in the Patent and Trademark Office at
		Reel, Frame, or for which a copy thereof is attached.
	2.	From: To:
		From: To: The document was recorded in the Patent and Trademark Office at
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	3.	From: To:
		The document was recorded in the Patent and Trademark Office at
		Reel, Frame, or for which a copy thereof is attached.
	[]	Additional documents in the chain of title are listed on a supplemental sheet.
	[]	Copies of assignments or other documents in the chain of title are attached.
The undersign	ersigned ha	as reviewed all the documents in the chain of title of the patent application identified above and, to the best of wledge and belief, title is in the assignee identified above.
The unde	ersigned (v	whose title is supplied below) is empowered to act on behalf of the assignee.
belief are like so m	believed ade, are p	at all statements made herein of my own knowledge are true, and that all statements made on information and to be true; and further, that these statements are made with the knowledge that willful false statements, and the unishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that tatements may jeopardize the validity of the application or any patent issuing thereon.
Date: 5	5-27	2-01
Name:	Brad	Freeman
Registrat	ion No.: _	(if applicable)
Title: <u>G</u>	enera	1 Manager of ASTERISK.ASTERISK, LLC
Signature	3	rad treeme







COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/776,523

02/02/2001

Brad Freeman

ASTRX-010A

CONFIRMATION NO. 5226

007663 STETINA BRUNDA GARRED & BRUCKER 75 ENTERPRISE, SUITE 250 ALISO VIEJO, CA 92656



Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

08/30/2001 SSESHE1 00000096 09776S23